

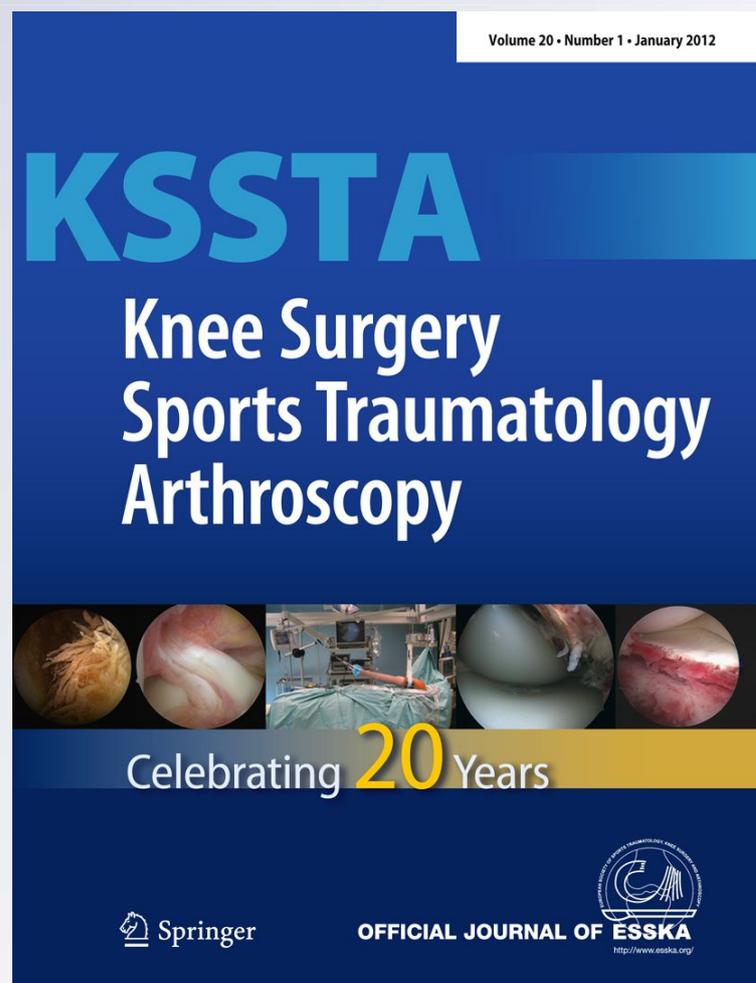
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# The effects of Low-intensity Pulsed Ultrasound on tendon-bone healing in a transosseous-equivalent sheep rotator cuff model

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## Abstract

**Purpose** The purpose of this study was to examine the effects Low-intensity Pulsed Ultrasound has on initial tendon-bone healing in a clinically relevant extra-articular transosseous-equivalent ovine rotator cuff model.

**Methods** Eight skeletally mature wethers, randomly allocated to either control group ( $n = 4$ ) or treatment group ( $n = 4$ ), underwent rotator cuff surgery following injury to the infraspinatus tendon. All animals were killed 28 days post surgery to allow examination of early effects of Low-intensity Pulsed Ultrasound treatment.

**Results** General improvement in histological appearance of tendon-bone integration was noted in the treatment group. Newly formed woven bone with increased osteoblast activity along the bone surface was evident. A continuum was observed between the tendon and bone in an interdigitated fashion with Sharpey's fibres noted in the treatment group. Low-intensity Pulsed Ultrasound treatment also increased bone mineral density at the tendon-bone interface ( $p < 0.01$ ), while immunohistochemistry results revealed an increase in the protein expression patterns of VEGF ( $p = 0.038$ ), RUNX2 ( $p = 0.02$ ) and Smad4 ( $p = 0.05$ ).

**Conclusions** The results of this study indicate that Low-intensity Pulsed Ultrasound may aid in the initial phase of

tendon-bone healing process in patients who have undergone rotator cuff repair. This treatment may also be beneficial following other types of reconstructive surgeries involving the tendon-bone interface.

**Keywords** Tendon-bone healing · Low-intensity Pulsed Ultrasound · Rotator cuff repair · Entheses · Ovine model

## Abbreviations

|          |   |
|----------|---|
| LIPUS    | Low-intensity Pulsed Ultrasound               |
| VEGF     | Vascular endothelial growth factor            |
| BMPs     | Bone morphogenetic proteins                   |
| Micro-CT | Micro-computed tomography                     |
| H&E      | Harris's haematoxylin and eosin               |
| PBS-T    | Phosphate-buffered saline with 0.2 % Tween-20 |
| PBS      | Phosphate-buffered saline                     |
| DAB      | DAKO <sup>®</sup> liquid diaminobenzidine     |
| IgG      | Immunoglobulin                                |
| BMD      | Bone mineral density                          |

## Introduction

Clinical scenarios related to entheses, attachment sites where tendons and ligaments meet bone, are usually associated with either tendinopathy or healing of the reattached tendon to bone following soft connective tissue reconstructive surgeries such as rotator cuff repair.

Rotator cuff surgery has seen vast improvements through the use of suture anchors and improved understanding of mechanical issues related to fixation techniques [8, 14, 20, 21, 31, 47]. Despite these advancements, numerous animal studies examining tendon to bone healing

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have demonstrated that the overall structure, composition and organization of a typical, direct-type enthesis, characterized by a complex transitional structure consisting of four distinct zones, are not regenerated after repair [1–3, 17, 38, 46, 49]. This type of makeup facilitates the structure to function in unison and, in turn, allows for physiological loading and joint motion. It has been reported that rotator cuff healing occurs by gap scar formation [37, 48]. Furthermore, it is speculated that this is due to incomplete and abnormal expression of genes that naturally guide the development of the native tendon-bone interface [37]. More work is needed to accurately characterize the tendon-bone healing molecular pathways following rotator cuff repair.

Ultrasound has long been used in medicine as a diagnostic, therapeutic and surgical tool. It makes use of a noninvasive form of mechanical energy that is applied transcutaneously as acoustical pressure waves. Its application is largely dependent on levels of energy administered [40]. More recently, a new form of ultrasound, Low-intensity Pulsed Ultrasound (LIPUS), has received a great deal of attention from researchers and physicians alike. LIPUS delivers ultrasound energy of 30 mW/cm<sup>2</sup> at a high 1.5-MHz frequency in bursts of 200  $\mu$ s and a duty cycle of 0.2. Benefits of using LIPUS have been well documented across all stages of bone healing, including angiogenesis, chondrogenesis and osteogenesis [40]. Accelerated healing has been reported in treatment for both fresh bone fractures and delayed unions and nonunions alike by superior endochondral ossification and osteoblast and fibroblast proliferation [6, 19, 24, 51]. In vitro experiments have shown ultrasound to increase cell proliferation, enhance collagen synthesis and significantly stimulate angiogenesis-related cytokines [10]. Subsequent in vitro experiments have demonstrated that LIPUS alters the differentiation pathway of the pluripotent mesenchymal cells into osteoblast and/or chondroblast lineage [22], stimulates osteogenic differentiation in osteoblastic cells [45] and, more recently, significantly increases the expression of bone morphogenetic protein (BMP) –2, –4 and –7 [43], which have been shown to directly participate in, and improve, tendon-bone healing [4, 37, 39, 52].

In vivo effects of LIPUS have also been reported. In an intra-articular sheep ACL reconstruction model, LIPUS treatment enhanced mechanical properties, increased cellular activity at the tendon-bone interface and accelerated the rate of angiogenesis [50]. Accelerated tendon-bone junction healing was also noted utilizing LIPUS in a partial patellectomy model in rabbits for periods up to 16 weeks. Results indicated accelerated and enhanced osteogenesis with superior mechanical properties in the LIPUS-treated animals [29, 34, 35].

The purpose of this study was to examine the effects of LIPUS on the early phases of tendon-bone healing in a

clinically relevant, extra-articular transosseous-equivalent ovine rotator cuff model, which has not been evaluated previously. It was hypothesized that LIPUS, through alteration of critical molecular expressions, will accelerate and augment the early phases of tendon-bone healing process.

## Materials and methods

Study approval was obtained from Animal Care and Ethics Committee of the University of New South Wales (ACEC Number: 10/110B).

### Study design

Eight cross-bred wethers (18 months) underwent rotator cuff surgery to sever and reattach the infraspinatus tendon. Sheep were randomly allocated to either a LIPUS-treated group ( $n = 4$ ) or a control group ( $n = 4$ ) with no treatment. Animals were killed 28 days post surgery and histology, immunohistochemistry and micro-computed tomography endpoints performed to examine the early effects of LIPUS.

### Animal model and surgery

Sheep were anesthetized and placed in the lateral position. Using the spine of scapula, acromion and the humeral head greater tuberosity as landmarks, an incision was made starting approximately three finger breadths beneath the outer one-third of the spine of scapula and passing between the lateral acromion and greater tuberosity of the humerus. Using blunt dissection, skin and subcutaneous tissues were elevated in the superior and inferior flaps. The brachial fascia was incised carefully in the line of the skin incision to expose the deltoideus muscle. The acromial head of the deltoideus was dissected along its superior or cranial edge. The acromial head of the deltoideus muscle was retracted inferiorly to expose the infraspinatus. The infraspinatus insertion was delineated by passing a curved artery forceps beneath its tendon as it inserts into the greater tuberosity. The infraspinatus tendon was sharply detached from its insertion into the greater tuberosity exposing the footprint of the muscles insertion (approximately 1 cm  $\times$  2 cm in size).

Cartilage at the footprint of the muscle insertion was burred to create a bleeding bone bed. The infraspinatus tendon was repaired with a transosseous-equivalent suture-bridge construct using medial row and lateral push-in suture anchors (Arthrex, Inc, Naples, Florida, USA).

Following surgery, animals were transferred to a cage and allowed to recover to a standing position while being observed.

#### Low-intensity Pulsed Ultrasound treatment

LIPUS treatment (30 mW/cm<sup>2</sup> delivered in 200- $\mu$ s bursts of sine waves at a frequency of 1.5 MHz and a 0.2 duty cycle) was administered for the duration of 20 min per day until killing at day 28. The treatment protocol started the day following surgery and was administered 5 days per week continuously. The transducer (Melmak Ultrasound Device, Biomedical Tissue Technology Pty Ltd, Sydney, Australia) was placed over the surgical site and coupled to the skin using ultrasound gel.

#### Bone mineral density of newly formed bone (micro-computed tomography)

Micro-CT measurements revealed bone mineral density and micro-architecture of new bone formation. Bone mineral density is indicative of quality of healing between the tendon and bone.

Following retrieval, humeral head–infraspinatus tendon complex was scanned using micro-computed tomography (Siemens Inveon micro-CT System, Siemens Medical Solutions, Erlangen, Germany). Resulting effective pixel size of the scan measured 50.88  $\mu$ m.

Scans were examined using MIMICS software (Mimics 12.0, Materialize, Belgium). The trans-axial midline of the tendon footprint was identified visually by aid of suture anchors in each sample. Five evenly spaced axial slices were evaluated for BMD measurement in five circular regions of interest (diameter ( $d$ ) = 7 pixels) of each slice. Average BMD was taken across 5 slices.

#### Histology

After killing, humeral head–infraspinatus tendon complex was harvested and fixed for 48 h with 10 % neutral buffered formalin. Tissues were decalcified in 10 % formic acid-neutral buffered formalin solution, sectioned sagittally, parallel to the infraspinatus tendon insertion, and placed into cassettes ready for paraffin processing. Serial sections were cut at 5 microns thickness and stained with Harris's haematoxylin and eosin (H&E) for microscopic analysis of tissue morphology and cellular constituents. Histology was qualitatively graded for degree of tendon-bone integration, new bone formation, cellular activity and Sharpey's fibres. Specimens demonstrating increased tendon-bone integration, via inter-digitations and Sharpey's fibres, increased new bone formation and appropriate cellular activity were considered indicative of increased

quality of tendon-bone healing. Collagen fibre alignment within healing tendons was evaluated using polarization microscopy. Higher levels of collagen fibre alignment and organization indicated a superior overall tendon quality at the interface and faster healing progression.

#### Immunohistochemistry

The immunohistochemistry procedure performed on the paraffin section to determine the expression of BMP-2, Smad4, VEGF and RUNX2 was derived from techniques previously reported [52, 53].

Briefly, slides were deparaffinized with xylene, rehydrated in a series of reduced concentrations of ethanol solutions. The slides were treated with a citrate-based (neutral pH) antigen retrieval solution (DAKO Pty Ltd, Glostrup, Denmark) at 95 °C for 20 min. Upon cooling to room temperature, endogenous peroxidase was quenched by 0.3 % hydrogen peroxide in 50 % methanol for 10 min. Slides were then rinsed in distilled water and washed in phosphate-buffered saline with 0.2 % Tween-20 (PBS-T). Primary mouse monoclonal antibodies against Smad4 (sc-7966), BMP-2 (sc-57040) and VEGF (sc-7269); rabbit polyclonal antibodies against RUNX2 (sc-10758) (Santa Cruz Biotechnology Inc, Santa Cruz, CA, USA); or non-immunized mouse and rabbit immunoglobulin (IgG) (DakoCytomation, Glostrup, Denmark), as negative controls, was applied to the sections (one antibody per section) and left overnight at 4 °C in humidity chambers. The concentrations of the primary antibodies used were 4 mg/ml for BMP-2 and RUNX2; 2 mg/ml for Smad4; and 1 mg/ml for VEGF. The final concentration of negative controls was 4 mg/ml.

The following day, the slides were washed three times in PBS-T, and the DakoCytomation Envision<sup>+</sup> System-HPR Labelled Polymer specific for mouse (K4001) or rabbit (K4003) (DakoCytomation, Glostrup, Denmark) was then applied at room temperature for 1 h. Sections were then washed in PBS-T four times prior to the application of a substrate–chromogen system, DAKO<sup>®</sup> Liquid diaminobenzidine (DAB) (K3468, DakoCytomation, Glostrup, Denmark). After 30 min, the reaction was terminated by immersing the slides in PBS. The sections were then counterstained with Harris's haematoxylin and mounted onto glass coverslips using EUKITT medium (Kindler GmbH & Co, Freiburg, Germany).

The immunohistochemical staining was assessed in a semiquantitative method by 3 observers in a blinded fashion. Two separate regions of interest fields (original magnification 10 $\times$ ) at each tendon-bone interface, which covered two-thirds of the tendon-bone interface, were assessed for each specimen and factor. Sections were graded for the proportion of cells that stained positively for the

**Table 1** Grading system for immunohistochemical staining

|   | –  | +    | ++     | ++       | +++    | +++      | ++++   |
|---|----|------|--------|----------|--------|----------|--------|
| Percentage of positively stained cells versus whole cell population | 0  | <10  | 25     | Up to 50 | 50     | Up to 80 | >80    |
| Staining intensity  | No | Weak | Strong | Moderate | Strong | Moderate | Strong |

marker versus the entire cell population at the tendon-bone interface as well as the staining intensity with reference to background staining. Cell phenotypes assessed included osteoblastic-like cells, osteoblast progenitor cells, proliferating fibroblasts and endothelial cells at the tendon-bone interface. A 5-grade scaling system was adapted with a combination of the percentage of cells stained and the staining intensity (Table 1).

**Statistical analysis**

BMD data and semiquantitative evaluation of BMP2, Smad4, VEGF and RUNX2 expression were analysed using SPSS version 18.0 (SPSS Inc, Chicago, Illinois). *T* test was performed, and statistical values of  $p \leq 0.05$  were considered significant. Levene’s test ( $p \leq 0.05$ ) was used to confirm variance homogeneity of the populations.

**Results**

Surgery and LIPUS treatment was well tolerated by all animals. Placement of LIPUS sensor did not unsettle the animals. Upon harvest of tissue, neither infections nor tendon avulsions were recorded.

**Histology**

Histological appearance at the tendon-bone interface in LIPUS-treated group demonstrated general improvement in appearance compared to controls. Generally a thicker region of newly formed woven bone, morphologically resembling trabecular bone, with increased osteoblast activity along the bone surface, was noted at the tendon-bone interface in the LIPUS-treated group compared to the controls. The interface in the LIPUS-treated group revealed a continuum between the tendon and bone in an interdigitated fashion with noted Sharpey’s fibres in contrast to the control group where discontinuous contact between the tendon and bone was observed (Fig. 1: control a, b, c; LIPUS d, e, f). Evidence of vascularization was noted by the presence of blood vessels.

**Immunohistochemistry**

All control sections showed only the blue colour of the Harris’s haematoxylin counterstain, whereas the positive signals stained brown (Fig. 2).

Semiquantitative grading of immunohistochemical staining is summarized in Table 2, and representative images are shown in Fig. 3. Immunostaining of Smad4 was present in all cell types, while VEGF stained positive within osteoblasts, endothelial cells and some fibroblasts. Expression patterns of RUNX2 and BMP-2 were comparable (osteoblasts, osteoprogenitor cells and fibroblasts). Four weeks post surgery, there was no significant difference observed between the immunoreactivity of BMP-2 in the LIPUS-treated and the control groups. Immunostaining of Smad4, present in all cell types at the healing interface, was elevated ( $p = 0.05$ ) in the LIPUS-treated group. Expression patterns of VEGF and RUNX2 both showed a significant difference (VEGF  $p = 0.038$ ; RUNX2  $p = 0.02$ ) between the control and the LIPUS-treated groups.

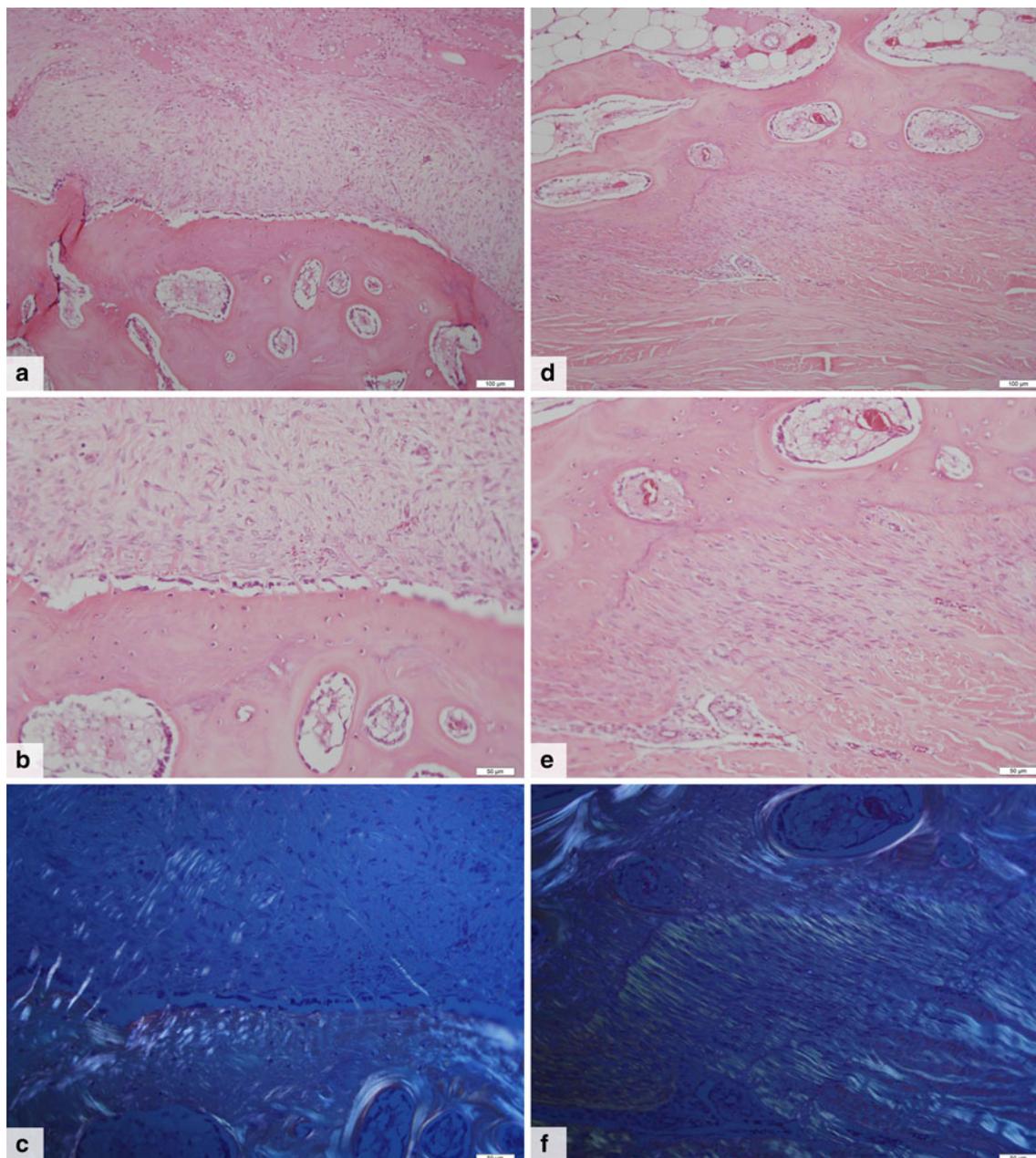
**Bone mineral density (micro-computed tomography)**

Bone mineral density (BMD) at the footprint of the rotator cuff repair significantly increased in the LIPUS-treated group ( $p < 0.01$ ) (Fig. 4).

**Discussion**

The most important finding of the present study was that LIPUS upregulates growth factor expression and healing of the tendon-bone interface compared to controls.

The current experimental study explored the effects of LIPUS application on the early phase of healing following an ovine rotator cuff repair using a clinically relevant transosseous-equivalent rotator cuff repair technique. Sheep animal model was chosen because of the previously reported size similarities between the human supraspinatus tendon and the sheep infraspinatus tendon [13, 16]. Traditionally the ovine rotator cuff animal model is associated with a distinct limitation in studying tendon-bone healing [42]. Immediate mechanical loading placed on the surgical repair postoperatively often results in detachment of the repaired tendon [37, 48] which does not mimic the



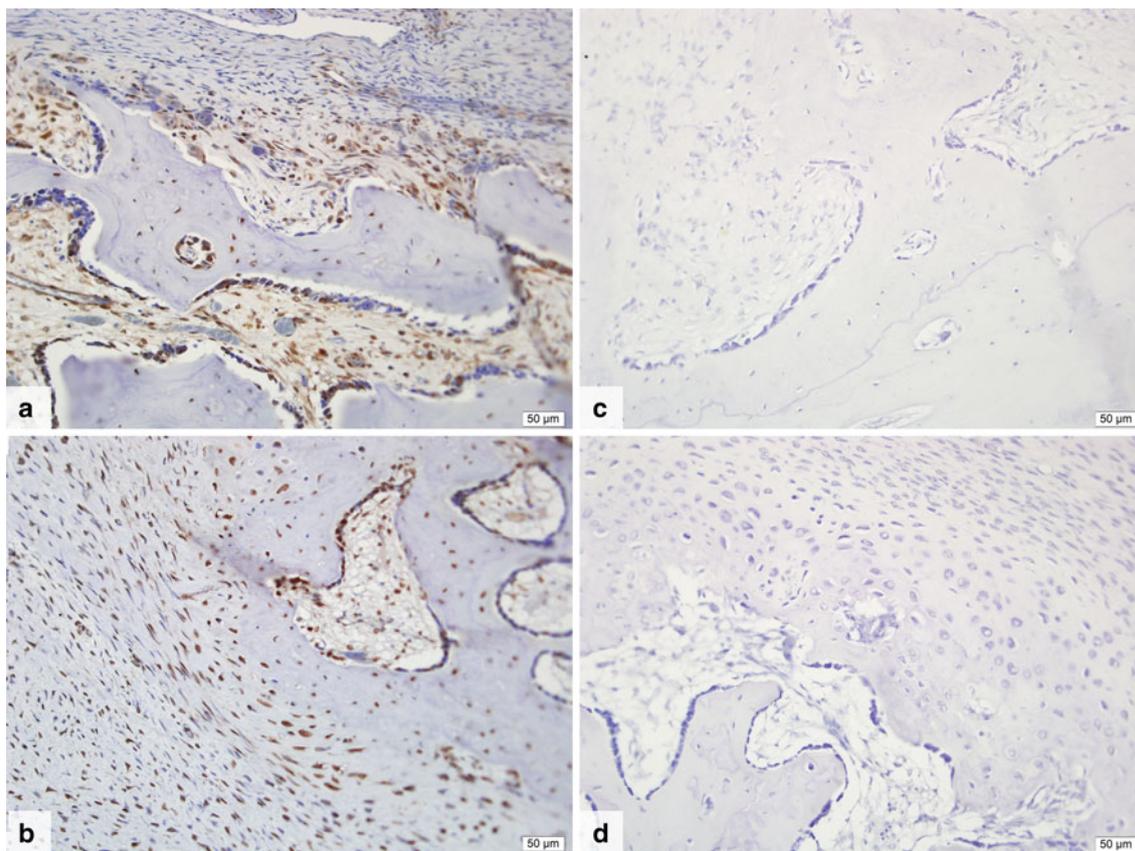
**Fig. 1** Low-intensity Pulsed Ultrasound treatment group (**d** original magnification  $\times 10$ ; **e** original magnification  $\times 20$ ; **f** original magnification  $\times 20$  polarized light) at 4 weeks (H&E) showed a more organized and mature tendon-bone interface. Polarization microscopy revealed maturely aligned collagen fibres at the tendon-bone interface

in all LIPUS-treated specimens, while the interface in the control specimens was made up of disorganized connective tissue layer (**a** original magnification  $\times 10$ ; **b** original magnification  $\times 20$ ; **c** original magnification  $\times 20$  polarized light)

tendon-bone healing following a rotator cuff repair in human subjects.

In order to avert the possibility of tendon detachment and potentially minimize gap formation, an ovine model that utilizes a 4-bridge transosseous-equivalent repair technique was developed [30]. This technique was shown to be more successful than the traditional single-row and double-row suture techniques. In a study by Park et al. [32], three different repair techniques were compared on fresh-

frozen human cadaveric shoulders: 4-suture-bridge transosseous-equivalent; 2-suture-bridge transosseous-equivalent; and standard double-row. Pressurized contact area, mean pressure between the tendon and footprint, and the ultimate load to failure were recorded. The contact area for the 4-suture bridge was  $115 \text{ mm}^2$ ; the 2-suture bridge was  $91 \text{ mm}^2$ ; and the double-row was  $56 \text{ mm}^2$ . In an equivalent fashion, the pressure exerted by the 4-suture bridge was  $0.27 \text{ MPa}$ , the 2-suture bridge was  $0.23 \text{ MPa}$ , and the



**Fig. 2** Sections at 4 weeks post surgery stained with: **a** mouse monoclonal anti-Smad4 antibody; **b** rabbit polyclonal anti-RUNX2 antibody, and negative controls: **c** nonimmunized mouse IgG; **d** nonimmunized rabbit IgG (original magnification  $\times 20$ )

**Table 2** Results of semiquantitative evaluation of BMP-2, Smad4, VEGF and RUNX2 expression at 4-week time point

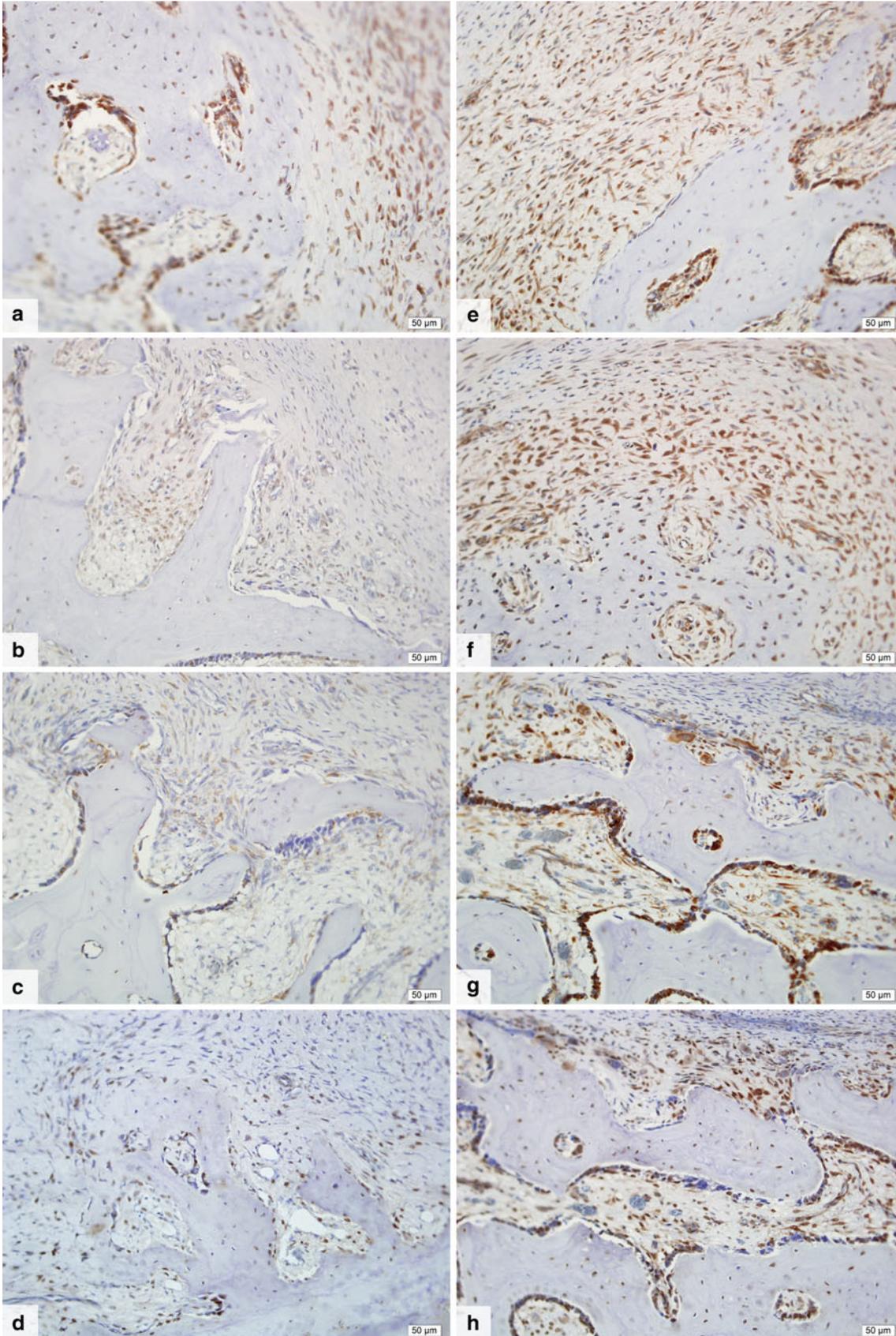
|       | Control | LIPUS       |
|-------|---------|-------------|
| BMP-2 | + / ++  | ++ / ++++   |
| Smad4 | + / ++  | ++ / ++++   |
| VEGF  | +       | +++ / +++++ |
| RUNX2 | +       | +++         |

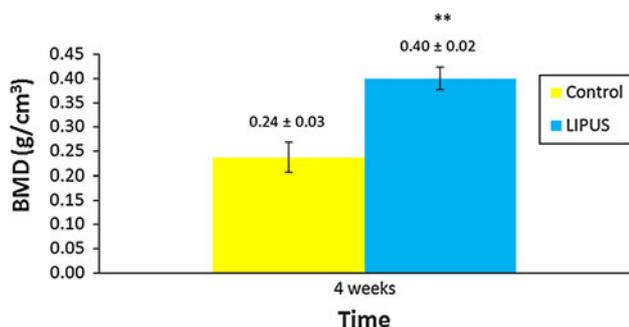
double-row repair was 0.19 MPa [32]. The 4-suture bridge transosseous-equivalent repair technique provided a significantly increased biomechanical contact area and pressure over the footprint area, most closely restoring the native footprint and resulting in a minimal gap formation. Furthermore, the transosseous-equivalent repairs demonstrated significantly improved tensile loading properties when compared with double-row and single-row techniques. Ultimate load to failure ranging between 350 and 400 N was recorded for the transosseous-equivalent technique [33] compared to a load between 300 and 350 N for the double-row constructs and 275 to 300 N for the single-row repair technique [5].

Mechanisms that LIPUS, through mechanical stimulation of osteoblasts and fibroblasts, appears to pertain to healing at the tendon-bone junction revolves around the increased expression of angiogenic factor VEGF which plays an important role during angiogenesis [28, 35, 50], stimulation of osteogenic differentiation of mesenchymal stem cells [7, 26, 44], increase proliferation and differentiation of osteoblasts [10] and inhibit osteoclasts through regulation of their bone-resorbing activity [23, 25].

Blood supply is a key factor to any tissue healing after injury. Fealy et al. [12] evaluated vascularity after rotator

**Fig. 3** Immunohistochemical staining of BMP-2 (a control; e LIPUS), Smad4 (a control; f LIPUS), VEGF (c control; g LIPUS) and RUNX2 (d control; h LIPUS) sections of the tendon-bone interface at 4 weeks post surgery (original magnification  $\times 20$ ). Expression patterns of RUNX2 and BMP-2 were comparable. Positive staining was observed in osteoblast-like cells and osteoprogenitor cells at the surface of the newly formed woven bone and in active fibroblasts found within the vicinity of the tendon-bone interface. Immunostaining of Smad4 was present in all cell types at the healing interface while VEGF stained positive within osteoblasts within newly formed bone, endothelial cells and some fibroblasts at the interface and focally within fibroblasts around the newly formed vessels. Significant difference was found in VEGF ( $p = 0.038$ ), RUNX2 ( $p = 0.02$ ) and Smad4 ( $p = 0.05$ ) expressions between the two groups





**Fig. 4** Bone mineral density: control vs. LIPUS-treated groups; significant increase in bone mineral density ( $p = 0.008$ ) at the tendon-bone interface was noted in the LIPUS-treated group compared to the control group at 4 weeks

cuff repair using contrast-enhanced power Doppler sonography and reported an increase immediately after repair confirming the popular belief that improved vascularity is detrimental in rotator cuff healing following repair. Similarly, adequate blood supply has been shown as a prerequisite for endochondral process of new bone formation [40] and for optimal bone regeneration [9]. The immunohistochemical results from this study indicated that the LIPUS-treated group expressed significantly stronger VEGF-positive signals compared to the control group, confirming claims that increased presence of VEGF plays a part in new bone formation.

Micro-CT was used to assess the bone mineral density within the newly formed bone at the footprint of the rotator cuff repair. It has been shown that increased mineral apposition rate and osteoid thickness, denoting intensified osteoblast activity, accelerate fracture healing of delayed unions [41] and improve the quality of tendon-to-bone interface [18]. Furthermore, Galatz et al. [15] demonstrated that bone loss at the tendon-to-bone insertion site significantly inhibits healing. It is hypothesized that this is due to the resorption of the bony surface collagen fibres that are prevented from incorporating into the mineralized tissue [36]. In this study, a biological effect was noted following a 4-week treatment with LIPUS. Micro-CT measurements revealed statistically greater BMD values and an increase in woven bone formation in the LIPUS-treated group. While this may suggest better healing in the LIPUS-treated animals, these results alone are not conclusive enough to insinuate a better-quality interface between the tendon and the bone.

The exact mechanisms that produce these biological effects in response to LIPUS treatment are not fully understood; however, the RUNX2 gene is considered to have the largest weighing in this complex healing process [11]. Recently, Suzuki et al. [44] reported that LIPUS significantly increases RUNX2 mRNA expression in rat osteoblasts in vitro. Suzuki et al. [43] also demonstrated that LIPUS can

upregulate BMP-2, BMP-4 and BMP-5 in ROS 17/2.8 cells. Furthermore, BMP-2 has been found to upregulate RUNX2 mRNA expression in vitro [27]. In this study, immunohistochemical results showed a significant increase in RUNX2 expression in the LIPUS-treated group while no significant difference in BMP-2 expression at the 4-week time period between the treatment and control groups. In a study conducted by Yu et al. [52], it was found that BMP2 expression pattern in an ovine tendon-bone healing model, although present at the 6-week time period, peaked at its most functional period between 2 and 3 weeks post surgery. These findings may help explain why no differences were observed in this study at the 4-week time point.

Dose-dependant effects of LIPUS treatment were not assessed. Whether altered LIPUS signal parameters or longer treatment periods would be more effective is considered beyond the scope of this study. Further limitations of the study are that examinations were carried out at only one time point and that small animal numbers were used. Biomechanical testing was not performed; thus, the positive results could not be correlated to the strength of the interface. A 4-week time point was chosen to assess the effects of LIPUS in the early phases of healing. The results of this study confirm reports from previous in vitro and in vivo studies, suggesting that LIPUS improves tendon-bone healing by upregulating angiogenic and osteogenic pathways. Finally, this study examined tendon-bone healing following repair of a normal, healthy tendon; thus, the results are relevant to tendon-bone healing following repair of acute traumatic injury and may not translate directly to the same effects in a degenerated tendon after chronic tendon injury. Nonetheless, clinical application of LIPUS following repair of acute traumatic rotator cuff injuries may be beneficial.

## Conclusion

LIPUS is a simple, feasible, noninvasive yet an economical treatment that has the potential to improve quality of repair following rotator cuff surgery.

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical Committee** Animal Care and Ethics Committee of the University of New South Wales (ACEC Number: 10/110B).

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